06-07-04

PATENT

Attorney Docket No.: CSI-2012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" Express Mail Label No.: ER 819975200 US Date of Mailing: June 3, 2004

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In re Application of:

Nguyen, et al.

Serial No.: 09/686,729

Filing Date: October 10, 2000

Title: MINIMALLY INVASIVE

ANNULOPLASTY PROCEDURE AND

APPARATUS

Examiner: A. Hoey

Group Art Unit: 3765

TECHNOLOGY CENTER R3700

TRANSMITTAL

Commissioner for Patents Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

- 1. Fee Transmittal (1 pg) in duplicate
- Supplemental Information Disclosure Statement (3 pgs) 2.
- Substitute Form 1449A (1 pg) and a copy of all cited references 3.
- Return Postcard 4.
- [X] Authorization to charge the \$180.00 fee for the Supplemental Information Disclosure Statement to Deposit Account No. 50-1947 is provided on the Fee Transmittal. A duplicate copy of that document is enclosed.
- The Commissioner is hereby authorized to charge any fees required by this submission to Deposit Account No. 50-1947 referencing Attorney Docket No. *. 00000016-501947---09686729

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[X] The Commissioner is hereby authorized to charge any <u>additional</u> fees which may be required, or credit any <u>overpayment</u>, to Deposit Account <u>No. 50-1947</u>, referencing Attorney Docket No. <u>CSI-2012</u>.

Respectfully submitted,

Date: June 3, 2004

Registration No. 32,818

LAW OFFICE OF HARRY J. MACEY

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
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PATENT SEE TO A MODILITY A L			Complete if Known						
FEE TRANSMITTAL for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision.			ion Nur	nber		09/686,729			
			Filing Date			October 10, 2000			
			med In	ventor		John Nguyen			
			er Nam	e	A. Hoev	A. Hoev			
_			Art Unit 3						
Applicant claims small entity status. See 37 CFR 1.27	-	Attornov Docket No			001.0010	CSI 2042			
TOTAL AMOUNT OF PAYMENT (\$) 180.00	<u> </u>	Attorney Docket No. CSI-2012							
METHOD OF PAYMENT (check all that apply)		Art Unit 3765 Attorney Docket No. CSI-2012 FEE CALCULATION Coptinued) ADDITIONAL FEES ge Entity Small Entity Fee Paid							
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Account 50-1947	Large	Entity	Small Entity			'00 < (104		
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Account Name LAW OFFICE OF HARRY J. MACEY	Code 1051		Code 2051	(\$)	Fee Descrip Surcharge – late filir	COGY CENTER	R3700		
The Director is authorized to: (check all that apply) ☑ Charge fee(s) indicated below ☐ Credit any overpayments	1052	50	2052		Surcharge – late pro cover sheet	charge – late provisional filing ree or			
☑ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.	1053	130	1053	130 N	Non-English specific	ation			
☐ Charge fee(s) indicated below, except for the filing fee	1812	2,520	1812		For filing a request for	•			
to the above-identified deposit account. FEE CALCULATION	1804				Requesting publicati Examination action	ion of SIR prior to			
1. BASIC FILING FEE	1805	1,8401	1805		Requesting publicat Examiner action	ion of SIR after			
Large Entity Small Entity <u>Fee Fee Fee Fee Description</u> Fee Paid	1251	110	2251	55 E	Extension for reply v	vithin first month			
Code (\$) Code (\$)	1252	420	2252	210 E	Extension for reply v	vithin second mor	ıth		
1001 770 2001 385 Utility filing fee	1253	950	2253	475	Extension for reply v	ension for reply within third month			
1002 340 2002 170 Design filing fee	1254	1,480	2254	740 E	Extension for reply v	ension for reply within fourth month			
1003 530 2003 265 Plant filing fee	1255	2,010	2255	1,005 E	Extension for reply v	ension for reply within fifth month			
1004 770 2004 385 Reissue filing fee	1401	330	2401	165	Notice of Appeal	ice of Appeal			
1005 160 2005 80 Provisional filing fee	1402	330	2402	165 F	Filing a brief in supp	ort of an appeal			
SUBTOTAL (1) (\$)	1403	290	2403	145 F	Request for oral hea	quest for oral hearing			
			1451	1,510 F	Petition to institute a	tition to institute a public use proceeding			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			2452	55 F	Petition to revive – u	tion to revive – unavoidable			
Fee from Extra Claims below Fee Paid	1453	1,330	2453	665 F	Petition to revive - u	ition to revive – unintentional			
	1501	1,330	2501	665 L	Utility issue fee (or re	ity issue fee (or reissue)			
	1502	480	2502	240 (Design issue fee	sign issue fee			
Indep3** = x = Claims	1503	640	2503	320 F	Plant issue fee	nt issue fee			
Multiple Dependent =	1406	06 130 1460 130 Petitions to the Commissioner							
Large Entity Small Entity	1807	50	1807	50 F	rocessing fee under 37 CFR 1.17(q)				
Fee Fee Fee Fee Fee Description	1806	180	1806	180 5	Submission of Inform	nation Disclosure	Stmt	180.00	
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021	40	8021			cording each patent assignment per perty (times number of properties)			
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1809	309 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))		n					
1204 86 2204 43 ** Reissue independent claims over original patent	1810	770	2810			r each additional invention to be amined (37 CFR § 1.129(b))			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1801	770	2801	385 F	Request for Continu	quest for Continued Examination (RCE)			
SUBTOTAL (2) \$	1802	02 900 1802 900 Request for expedited examination of a design application							
or number previously paid, if greater; For Reissues, see above.	Other	r fee (specify)							
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00						180.00		
SUBMITTED BY Complete (if applicable)									
Name (Print/Type) Harry J. Macey		Registration No. (Attorney/Agent) 32,818				Telephone	650-654-95	55	
Signature Aven Mecly						Date	June 3, 200)4	

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This collection of information is required by 37 CFR 1.17 and 1.27 The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. complete, including gamening, preparing, and submitting the completed application form to the OSPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450